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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/041,977	01/09/2002	Charles A. Nicolette	GA0118USC	7476
24536	7590	01/21/2005	EXAMINER	
GENZYME CORPORATION LEGAL DEPARTMENT 15 PLEASANT ST CONNECTOR FRAMINGHAM, MA 01701-9322			PONNALURI, PADMASHRI	
			ART UNIT	PAPER NUMBER
			1639	

DATE MAILED: 01/21/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

10/041,977

Applicant(s)

NICOLETTE, CHARLES A.

Examiner

Padmashri Ponnaluri

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 21 October 2004.
- 2a) ☒ This action is FINAL. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-18, 20-23 and 25-28 is/are pending in the application.
- 4a) Of the above claim(s) 3 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-2, 4-18, 20-23, 25-28 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

### **DETAILED ACTION**

1. The amendment and the response filed on 10/21/04 has been fully considered and entered into the application.
2. Claims 19, 24 have been canceled and claims 1, 8-9, 20, 25-26 have been amended by the amendment filed on 10/21/04.
3. Claim 3 is withdrawn from further consideration pursuant to 37 CFR 1.142(b) as being drawn to a nonelected species invention, there being no allowable generic or linking claim. Election was made **without** traverse in Paper filed on 1/16/04.
4. This application contains claim 3 drawn to an invention nonelected with traverse in Paper No. 1/16/04. A complete reply to the final rejection must include cancellation of nonelected claims or other appropriate action.
5. Claims 1-2, and 4-18, 20-23, 25-28 are currently being examined in this application.

### ***Priority***

6. This application is a continuation of 08/989,195, which is a continuation of PCT/US97/04479; which claims priority to 60/013,706.
7. The amendment to the specification to update the current status of the parent application has been fully considered and entered.

### ***Information Disclosure Statement***

8. The information disclosure statement filed on 1/9/02 fails to comply with 37 CFR 1.98(a)(1), which requires a list (PTO 1449 is missing) of all patents, publications, or other information submitted for consideration by the Office. It has been placed in the application file, but the information referred to therein has not been considered.

***Withdrawn Claim rejections***

9. The rejections of claims under 35 USC. 112, second paragraph set forth in the previous office action have been withdrawn in view of the amendments to the claims.

***Maintained Claim rejections***

10. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

11. Claims 1-2, and 4-7, 9-17, 20-23, 25-28 are rejected under 35 U.S.C. 103(a) as being unpatentable over Van der Zee et al (European Immunology, 1989., vol. 19, pages 43-47) and Lam et al (US Patent 5,510,240) for the reasons set forth in the previous office action mailed on 4/21/04.

Van der Zee et al teach a method for efficient mapping and characterization of a T cell epitope by the simultaneous synthesis of multiple peptides. Van der Zee uses Pepscan method to synthesize T cell epitopes, according to the method small amounts of several hundreds of peptides are synthesized on activated polyethylene rods (solid supports of the instant claims) arrayed in a micro titer plate, after the synthesis and deprotection the peptides (refers to the library of molecules attached to solid phase supports) remain attached to the rods for subsequent analysis of their reactivity with antibodies. Van der Zee teaches that for identification and characterization of T cell epitopes, the peptides must be detached from the solid support for screening assay. Van der Zee et al teach that T cell clones A2b and A2c are used in T cell stimulatory activity assay. The reference teaches that the T cell clone are incubated with peptides which are released from the rods, in presence of irradiated syngenic thymocytes APC, and the stimulatory indices are determined using the <sup>3</sup>H-thymidine incorporation. The reference also discloses the sequence of the epitope peptides is determined, and substituted peptides are prepared by single amino acid substitutions, insertions and deletion and the analogs of the peptides are tested for activity using the same T cell clones. The reference teaches that Pepscan method was also used to prepare a large number of epitope analogs having replacements, deletions, insertions of the residue in the nonpeptide that contain the epitope. Van der Zee et al teach that a heptapeptide synthesized by the pepscan method fully stimulated T cell clones. The

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reference teaches that the activity of the peptides released from the supports are compared. The reference teaches that determination of the essential residue of the epitope by synthesis of variants and capered or determined the T cell stimulations by the variants.

The claimed invention differs from the prior art teachings by reciting using acid releasable linkers and cleaving a portion of the linker molecules such that a portion of the molecule is released. Van der Zee et al do not teach cleaving only a portion of the linkers such that a portion of the molecule is released. However, Lam et al teach methods of screening a peptide library. Lam et al teach synthesis of peptides on solid phase supports using selectively cleavable linkers (refers to the releasable linkers of the instant claims) to allow sequential cleaving the compounds from a single bead (e.g., see column 16). The reference teaches that Van der Zee et al use aqueous formic acid (refers to acid cleaving or releasing agent of the instant claims) as cleaving agent in the method of characterization of T-cell determinants. The reference teaches that the library of bio-oligomers are attached to beads with selectively cleavable linkers such that a fraction of bio-oligomers are released during each step of cleaving and this sequential release of bio-oligomers can result fro use of two different cleavable linkers or by limiting the cleavage agent or controlled irradiation (e.g., see column 22). Beads from wells demonstrating biological activity are isolated and attached bio-oligomer is sequenced. Lam et al teach that in the disclosed screening method only small number of beads are removed during each screening step, the majority of the beads remain in the pool, therefore the random bio-oligomer can be reused multiple times.

Thus, it would have been obvious to one skilled in the art at the time the invention was made to use selectively cleavable linkers to attach peptides to beads taught by Lam et al in the method of Van der Zee et al with the expectation of identifying T cell epitopes from the library and synthesizing variants of the epitope. Because Lam et al teach advantages of the use of cleavable linkers, such that only a fraction of peptides are cleaved from the beads to identify the T cell epitopes taught by Van der Zee et al and still have peptides attached to the beads which would be useful in structure analysis methods and Van der Zee et al teach methods of synthesis of T cell epitopes on solid phase supports and methods for identifying the t cell epitope using T cell clones and APC. Van der Zee et al teach that the positive peptides from the library are sequenced and using the sequence data of the positive peptides new variant peptides are made and these variants are tested for T cell stimulation. Thus, one skilled in the art at the time the invention was made, motivated to use the methods of Lam et al in the methods of Van der Zee with the

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expectation of identifying T cell epitopes and determine the structure of the epitopes and use the information in synthesis of T cell epitope variants which would be useful as therapeutics or in diagnosis.

12. Claims 1-2, 4-17, 20-23, 25-28 are rejected under 35 U.S.C. 103(a) as being unpatentable over Van der Zee et al (European Journal of Immunology. 1989, vol. 19, pages 43-47) and Lam et al (US Patent 5,510,240) as applied to claims 1-2, 4-7, 9-17, 19-28 above, and further in view of Engelhard (Current Opinion in Immunology. 1994, vol. 6, pages 13-23) for the reasons set forth in the previous office action mailed on 4/21/04.

Van der Zee et al and Lam et al have been discussed supra.

The combined teachings of Van der Zee et al and Lam et al fail to teach the structural Motif (i.e., SEQ ID NO: 1 of the instant claim 8) contained in the library of molecules. However, Engelhard teaches structure of peptides associated with MHC class I molecules. The reference teaches the recent progress in understanding the structure of MHC class I molecules and the peptides that they bind has led to a generalized model for the peptide binding and an understanding of allele specificity. Predictions on the basis of motifs and new techniques for peptides analysis have recently resulted in the identification of several peptides that comprise peptide epitopes for antigen-specific T cells. The reference teaches that the ability of individual MHC isoforms to bind diverse arrays of peptides is based on specific interactions involving into six subsites or pockets located within the deep cleft of on the top surface of the class I molecule, and the predominant length of peptides associated with most class I molecules analyzed to date is nine residues (e.g., see table 1). The reference teaches peptides which have leucine (L) and valine (V) at the terminal and six other amino acids in between (refers to instant claim 8, SEQ ID NO: 1). The reference also teaches that the molecular cloning techniques such as cDNA library are useful to identify epitopes recognized in to database of peptides associated with many different class I MHC molecules, and the general principles that govern their binding, combined with molecular modeling will allow peptide-MHC interactions to be understood and predicted with greater certainty and use of the existing motif information has also led to the identification of several new epitopes recognized by specific CTLs.

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Thus, it would have been obvious to one skilled in the art at the time the invention was made to use the motifs disclosed by Engelhard et al in the methods of Van der Zee et al, and Lam et al with the expectation of obtaining new T cell epitopes which would bind higher affinity. And using the methods of Van der Zee et al and Lam et al to synthesize a larger number of peptides simultaneously and screen for higher affinity T cell epitope and determining the structure of the peptide.

13. Claims 1-2, 4-7, 9-18, 20-23, 25-28 are rejected under 35 U.S.C. 103(a) as being unpatentable over Van der Zee et al as applied to claims 1-2, 4-7, 9-17, 19-28 above, and further in view of Melief et al (US Patent 5,554,724).

Van der Zee et al and Lam et al have been discussed supra.

The combined teachings of Van der Zee et al and Lam et al fail to teach that the foster antigen presenting cell is from the cell line 174xCEM.T2. However, Melief et al teach isolated tumor antigen precursor MAGE-2 derived peptides, and uses thereof. The reference teaches that these peptides bind with HLA-A2 molecule, thus presenting the complexes, which provoke CTL production. The reference teaches 174xCEM.T2 line which express empty and unstable HLA-A2.1 molecules that can be stabilized when a peptide is binding to the peptide presenting groove of these molecules. The reference teaches that only limited number of peptide bind to HLA-A2.1 with high affinity and which will be recognized by CTLs, because CTL recognizes peptides only when they are bound to HLA molecules. Thus, it would have been obvious to one skilled in the art at the time the invention was made to use 174xCEM.T2 cell line disclosed by Melief et al in the method of Van der Zee et al and Lam et al with the expectation of identifying high affinity T cell epitopes and with the expectation of using them as immunotherapeutics.

### ***Response to Arguments***

14. Applicant's arguments filed on 10/21/04, regarding the obviousness rejections have been fully considered but they are not persuasive.

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NOTE applicants response has addressed all the obviousness rejections together, thus the response to arguments would address the same.

Applicants argue that Van der Zee is misapplied to the presently claimed invention, and applicants traverse these rejections.

Applicants argue that Van der Zee does not teach the use of T cells, oligopeptides and antigen presenting means, each of which correspond to the same MHC haplotype restriction (see section 1 of the response).

Applicants further assert that neither Van der Zee, nor any of the cited references teach or suggest any method to identify cytotoxic T cell epitopes wherein each of the assay component is correlated for MHC-haplotype status.

Applicant's arguments and assertions have been fully considered and are not persuasive. Applicants argue that Van der Zee does not teach the use of T cells, oligopeptides and antigen presenting means, each of which correspond to the same MHC haplotype restriction. However, the instant claims do not recite oligopeptides, and Van der Zee et al teach that T cell stimulation usually requires processing of the protein antigen –presenting cells (APC) and subsequent recognition by the T cell receptor of peptide epitopes associated with MHC present on the surface of APC. Van der Zee et al teach Pepscan method for synthesizing peptides (epitopes), and these peptides are cleaved from the support, since the peptides can not stimulate T cells, as T cell epitopes are only recognized in association with MHC molecules present on the APC. Thus, Van der Zee teach that the T cells, APC and the peptides in the library share the same MHC haplotype.



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Applicants further argue that 'a population of cytotoxic T cells' of the instant invention have the 'same MHC-haplotype,' only one MHC-haplotype is defined at a time, since each MHC-haplotype is defined by a different structure, a different peptide library will be used for each agretope of the MHC-haplotype.

Applicants arguments have been considered and are not persuasive, since the instant claims do not recite 'only one haplotype is defined at a time'; 'a different peptide library will be used for each agretope of the MHC haplotype'; and 'each released oligopeptide will correspond to the same agretope of the MHC haplotype.' In response to applicant's argument that the references fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies (i.e., 'same MHC-haplotype,' only one MHC-haplotype is defined at a time, since each MHC-haplotype is defined by a different structure, a different peptide library will be used for each agretope of the MHC-haplotype) are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).

Applicants further argue that 'the goal of seeking enumeration of multiple peptides capable of eliciting a cytotoxic response when complexed with a cytotoxic T cells and antigen presentation means is also not found in the prior art.

Applicant's arguments have been considered and are not persuasive, since Van der Zee et al teach that the stimulatory activity of the T cell clones was determined by incubating the cells with various amounts of peptides in the presence of APC. Thus, peptides elicit cytotoxic response when complexed with T cells and APC.

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Applicants argue that according to the teachings of Van der Zee, it would not be useful to create degenerate libraries of conserved only for MHC haplotype, because according to Van der Zee, all native residues are seen as essential. Applicant's arguments are not persuasive for the following reasons: , Van der Zee et al teach that epitope analogues having replacements, deletions, and insertions of residues in the nonapeptide that contains the epitope were prepared. Thus, Van der Zee teach degenerate libraries of the conserved sequence; and the instant claims do not recite 'degenerate libraries of conserved MHC haplotype.' In response to applicant's argument that the references fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies (i.e., degenerate libraries of conserved MHC haplotype) are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).

Applicants further argue that Van der Zee created several limited libraries; all closely related to the native sequences. And further applicants discuss the invention of Van der Zee et al. Applicants argue unlike Van der Zee wherein a separate assay is conducted for each peptide species detached from individual rods which are arrayed in a microstate plate pattern by the present invention, a quantity of peptides is released from each of the solid phase supports in the library of oligopeptides.

Applicant's arguments are not persuasive, since the rejection of record is based on combined teachings of Van der Zee and Lam et al. Lam et al teach methods of screening peptide libraries by selectively cleaving peptides from a bead.

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In response to applicant's arguments against the references individually, one cannot show nonobviousness by attacking references individually where the rejections are based on combinations of references. See *In re Keller*, 642 F.2d 413, 208 USPQ 871 (CCPA 1981); *In re Merck & Co.*, 800 F.2d 1091, 231 USPQ 375 (Fed. Cir. 1986).

Applicants argue that Van der Zee teaches away from the present invention (section 2 in the response).

Applicants argue that none of the Van der Zee derivatives were significantly superior to the native epitope.

Applicant's arguments that 'none of Van der Zee derivatives were significantly superior to the native epitope' are not relevant to the claimed invention.

Applicant's arguments regarding the time and energy are not persuasive, since they are not relevant to the claimed invention.

Applicant's arguments regarding the 'non-native ligands that offer improved immunological reactivity' are not persuasive, since the instant claims do not recite these limitations.

In response to applicant's argument that the references fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies (i.e., non-native ligands offer improved immunological reactivity) are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).

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Applicants argue that the use of selectively cleavable linkers of Lam et al in the method of Van der Zee et al would neither teach nor suggest the claimed invention, and the combination would not place the artisan in a better position than the artisan would have if employing only the method of the primary reference.

Applicant's arguments are not persuasive, since Lam et al reference has been used to show the use of selectively cleavable linkers in the peptide library screening methods. It would have been obvious to one skilled in the art at the time the invention was made to use the selectively linkers taught by lam et al in the method of van der Zee et al with the expectation of identifying T cell epitopes and further determine the structure or sequence of the peptide epitopes. Thus, the rejections of record have been maintained.

15. It has been noted applicants have not addressed the rejections based on Van der Zee in view of Lam et al, Engelhard, Melief et al of record, thus the rejections of record have been maintained.

### *Conclusion*

16. No claims are allowed.

17. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period

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will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Padmashri Ponnaluri whose telephone number is 571-272-0809. The examiner is on Increased Flex Schedule and can normally be reached on Monday through Friday between 7 AM and 3.30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Andrew Wang can be reached on 571-272-0811. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

  
PADMASHRI PONNALURI  
PRIMARY EXAMINER

Padmashri Ponnaluri  
Primary Examiner  
Art Unit 1639

18 January 2005